



The Law Society of  
Upper Canada

Barreau  
du Haut-Canada

**THE LAW SOCIETY OF UPPER CANADA  
APPLICATION FOR PERMISSION TO SURRENDER  
THE CERTIFICATE OF AUTHORIZATION  
(Under Part II of By-Law 7)**

<b>1. Name of the professional corporation:</b>	<b>2. Professional corporation's Ontario Corporation Number:</b>
Corporate Name: _____ _____	Ontario Corporation Number: _____
<b>3. The date of incorporation or amalgamation or continuance:</b>	
_____/_____/_____ D M YR	
<i>[attach the articles of incorporation, certificate of incorporation, articles of amalgamation (if any) and certificate of amalgamation, articles of continuance (if any) and certificate of continuance, articles of amendment (if any) and certificate of amendment and the current certificate of status for the corporation]</i>	
<b>4. Number of the Certificate of Authorization issued to the professional corporation and the date issued:</b>	
Certificate Number: _____ Issued Date: ____/____/_____ D M YR	
<b>5. Address, telephone number, facsimile number and e-mail address of the registered office:</b>	<b>6. Business address, telephone number, facsimile number and e-mail address of the professional corporation (if different from 5):</b>
Address: _____ _____ _____ _____	Address: _____ _____ _____ _____
Telephone Number: _____ Facsimile Number: _____	Telephone Number: _____ Facsimile Number: _____
E-mail Address: _____	E-mail Address: _____

<b>7. Complete A through E below by placing a checkmark in the appropriate box.</b>	
<p>A i) The professional corporation has paid over and distributed all money or property held in trust, including money and property held for estates to the person's entitled thereto.  <b>If "Yes",</b> attach an Accountant's Certificate to the application.  <b>If "No",</b> attach a schedule with an explanation;</p> <p><i>OR:</i></p> <p>ii) The professional corporation has not been responsible for any money or property held in trust.</p>	<p>Yes <input type="checkbox"/>  No <input type="checkbox"/>  N/A <input type="checkbox"/></p> <p>Yes <input type="checkbox"/>  No <input type="checkbox"/></p>
<p>B i) The professional corporation has disposed of or made arrangements to the clients' satisfaction to have documents and property returned to them or turned over to another barrister and solicitor or licensed paralegal where appropriate.  <b>If "No",</b> attach a schedule with an explanation;</p> <p><i>OR:</i></p> <p>ii) The professional corporation has not engaged in the practice of law or provision of legal services.</p>	<p>Yes <input type="checkbox"/>  No <input type="checkbox"/>  N/A <input type="checkbox"/></p> <p>Yes <input type="checkbox"/>  No <input type="checkbox"/></p>
<p>C. The directors of the professional corporation are <u>not</u> aware of any claim against the corporation in its professional capacity or in respect of its practice.</p>	<p>Yes <input type="checkbox"/>  No <input type="checkbox"/></p>
<p>D i) The professional corporation is <u>no longer</u> the subject of or has fully complied with all terms and conditions of an order made under sections 61.0.1 through 61.0.9 of the <i>Law Society Act</i>.</p> <p>ii) The professional corporation is the subject of an audit, investigation, search or seizure by the Society.</p> <p>iii) The professional corporation is a party to a proceeding under sections 61.0.1 through 61.0.9 of the <i>Law Society Act</i>.</p>	<p>Yes <input type="checkbox"/>  No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/>  No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/>  No <input type="checkbox"/></p>
<p>E. A copy of the Notice of Intention to Surrender a Certificate of Authorization has been published. (<i>Attach a copy of the Notice</i>)</p>	<p>Yes <input type="checkbox"/>  No <input type="checkbox"/></p>
<b>8. Reasons that the professional corporation is applying for permission to surrender the Certificate of Authorization (<i>attach a separate schedule if necessary</i>):</b>	

